

SAH
#2
3-6-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Frederick Hutter

Serial No.: 10/014710

Filed: 12-11-01

For: Rosin-Fatty Acid Vinyl Polyamide Polymer Resins

Examiner: Yoon

Group Art Unit: 1714



Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. § 1.56, applicant wishes to call the attention of the Examiner to the following references:

<u>U.S. PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
3,412,115	Floyd et al.	11/19/68
3,778,394	Lovald et al.	12/11/73
4,111,877	Dixon et al.	09/05/78
4,487,940	Sekmakas et al.	12/11/84
4,526,915	Sekmakas et al.	07/02/85
4,870,139	Kveglis et al.	09/26/89
5,026,755	Kveglis et al.	06/25/91
5,180,782	Stone et al.	01/19/93
5,183,847	El-Hefnawi et al.	02/02/93
5,420,229	Burke et al.	05/30/95
5,656,679	Hutter	08/12/97
5,693,702	Hutter	12/02/97
6,069,275	Schachat et al.	05/30/00
RE. 28,533	Drawert et al.	08/26/75

OTHER DOCUMENTS

Pending U.S. Patent Application Serial No. 09/661,278, entitled "Rosin-Fatty Acid Vinylic Polyamide Polymer Supported Latices" by G. F. Hutter. Filed 9/14/00.

United Kingdom Patent No. 1,236,088. New Polyamides and Their Use. Issued: 02/16/72.

Halbrook, N. J., R. V. Lawrence, R. L. Dressler, R. C. Blackstone, and W. Herz. Structure and Stereochemistry of Diels-Alder Adducts of Levopimaric Acid. *Journal of Organic Chemistry* Vol. 29: 1017-1021. 1964.

Copies of these references are submitted herewith along with form PTO-1449.

U.S. Patents Nos. 4,870,139, 5,026,755 and 5,183,847 relate to the production of graft polymers and the relevance thereof is discussed in the above-referenced patent application.

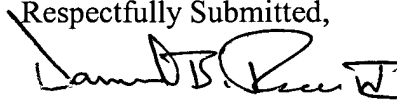
British Patent No. 1,236,088 and U.S. Patents Nos. 3,412,115, 3,778,394 and Re. 28,533 relate to the production of polyamides and the relevance thereof is discussed in the above-referenced patent application.

Commonly assigned, pending U.S. Patent Application Serial No. 09/661,278 relates to the production of rosin-fatty acid vinylic polyamide polymer supported latices.

The remaining patents and other documents are cited as being representative of the state of the art in this area.

These citations do not constitute an admission that the references are relevant or material to the claims; they are cited only as constituting the closest art of which the applicant is aware.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Daniel B. Reece IV", with a stylized flourish at the end.

Daniel B. Reece IV
Attorney for the Applicants
Registration No. 33,998

Attachments

Dated: December 10, 2001
5255 Virginia Avenue
Post Office Box 118005
Charleston, SC 29423-8005
(843) 746-8493

Form PTO-1449 (REV. 8-83)		U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. CHR 97-82 CIP		SERIAL NO.	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				APPLICANTS G. Frederick Hutter			
				FILING DATE		GROUP	

U.S. PATENT DOCUMENTS												
*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3	4	1	2	1	1	5	11/68	Floyd et al.			
	3	7	7	8	3	9	4	12/73	Lovald et al.			
	4	1	1	1	8	7	7	09/78	Dixon et al.			
	4	4	8	7	9	4	0	12/84	Sekmakas et al.			
	4	5	2	6	9	1	5	07/85	Sekmakas et al.			
	4	8	7	0	1	3	9	09/89	Kveglis et al.			
	5	0	2	6	7	5	5	06/91	Kveglis et al.			
	5	1	8	0	7	8	2	01/93	Stone et al.			
	5	1	8	3	8	4	7	02/93	El-Hefnawi et al.			
	5	4	2	0	2	2	9	05/95	Burke et al.			
	5	6	5	6	6	7	9	08/97	Hutter			
	5	6	9	3	7	0	2	12/97	Hutter			
	6	0	6	9	2	7	5	05/00	Schachat et al.			
	R	E	2	8	5	3	3	08/75	Drawert et al.			

PENDING U.S. PATENT APPLICATION												
	09/	6	6	1	2	7	8	09/00	Hutter			

FOREIGN PATENT DOCUMENTS													
	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	1	2	3	6	0	8	8					YES	NO
	1	2	3	6	0	8	8	02/72	United Kingdom				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)											
		Halbrook, N. J., R. V. Lawrence, R. L. Dressler, R. C. Blackstone, and W. Herz. Structure and Stereochemistry of Diels-Alder Adducts of Levopimaric Acid. <i>Journal of Organic Chemistry</i> Vol 29: 1017-1021. 1964.									

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

 Jc564 U.S. PTO
 10/014710
 12/11/01